

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: May 10, 20001	)	Attorney Docket No.: NETAP012
	)	
For: System and Method for Customizing	)	
Business Logic Rules in a Business	)	
Process System	)	
	)	

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**DECLARATION OF FACTS IN SUPPORT OF APPLYING  
ON BEHALF OF AN OMITTED INVENTOR  
Pursuant to 37 C.F.R. §1.47 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This declaration is made as to the facts that are relied upon to establish the diligent effort made to secure the execution of the Declaration for the above-identified patent application by the omitted inventor. This declaration is being made by the available person having first-hand knowledge of the facts recited therein.

I, Jung-hua Kuo, do hereby declare:

A copy of the subject patent application, Combined Declaration and Power of Attorney, and Assignment were sent to inventor Clinton Hallman on May 3, 2001 (See Exhibit A).

I spoke to Clinton Hallman via telephone on May 3, 2001 and informed him that I was sending the documents. At this time Clinton Hallman was no longer employed by Assignee, Networks Associates Technology, Inc. Clinton Hallman informed me that he would not sign the documents.



# DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney Docket No. NETAP012

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SYSTEM AND METHOD FOR CUSTOMIZING AND PROCESSING BUSINESS LOGIC RULES IN A BUSINESS PROCESS SYSTEM**, the specification of which,

(check one)

1. ☒ is attached hereto.
2. ☐ was filed on \_\_\_\_\_ as  
U.S. Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.
3. ☐ was filed on \_\_\_\_\_ as  
International PCT Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

_____	_____	_____
(Appl. No.)	(Country)	(Filing Date)
_____	_____	_____
(Appl. No.)	(Country)	(Filing Date)

Priority Benefits Claimed?

☐ Yes ☐ No

☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

## Prior Provisional Application(s)

_____	_____
(Application No.)	(Filing Date)
_____	_____
(Application No.)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

## Prior U.S. Application(s)

_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

And I hereby appoint Jung-hua Kuo (Reg. No. 41,918) as my principal attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Please Direct all Correspondence To: **Customer No. 23689**


Jung-hua Kuo  
Attorney at Law  
P.O. Box 3275  
Los Altos, CA 94024

Direct Telephone Calls To: Jung-hua Kuo at telephone number (650) 988-8070

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of

Sole or First Inventor: Geoff Grindrod Citizenship: United States

Inventor's signature:  Date of Signature: 5/9/01

Residence: (City) San Jose (State/Country) CA/US

Mailing Address: 4301 Renaissance Drive #346, San Jose CA 95134

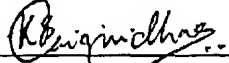
Full Name of Second Joint Inventor (if any): Oto Slavos Citizenship: Slovak Republic

Inventor's signature:  Date of Signature: 5/9/01

Residence: (City) San Jose (State/Country) CA/US

Mailing Address: 7231 Via Vico Way, San Jose CA 95129

Full Name of Third Joint Inventor (if any): Saigiridhar Kodali Citizenship: India

Inventor's signature:  Date of Signature: 05/09/01

Residence: (City) Sunnyvale (State/Country) CA/US

Mailing Address: 1273 Lakeside Drive #2157, Sunnyvale CA 94086

Full Name of Fourth Joint Inventor (if any): Clinton Hallman Citizenship: United States

Inventor's signature: \_\_\_\_\_ Date of Signature: \_\_\_\_\_

Residence: (City) Yardley (State/Country) PA/US

Mailing Address: 1241 Pine Grove Road, Yardley PA 19067

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Processing Business Logic Rules in a	)	
Business Process System	)	

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTOR ON BEHALF OF OMITTED  
INVENTOR WHO REFUSES TO SIGN OR CANNOT BE REACHED  
Pursuant to 37 C.F.R. §1.47 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above-named joint inventor and have signed this declaration on my own behalf and also sign this declaration under 37 CFR§1.47(a) on behalf of the omitted inventor who

  X   refuses to sign.

       cannot be found or reached.

Full name of first omitted inventor: Clinton Hallman

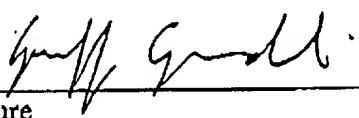
United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Geoff Grindrod  
Name

  
Signature

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United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Oto Slavos  
Name

Oto Slavos  
Signature

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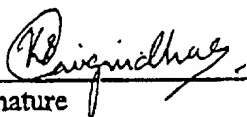
United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Saigiridhar Kodali  
Name

  
Signature

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